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# 113

PATENT

Customer Number 22,852

Attorney Docket No. 07705.0002-03000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Arthur T. Sands et al. )  
Serial No.: 09/639,453 ) Group Art Unit: 1636  
Filed: August 15, 2000 ) Examiner: To Be Assigned  
For: AN INDEXED LIBRARY OF )  
CELLS CONTAINING )  
GENOMIC MODIFICATIONS )  
AND METHODS OF MAKING )  
AND UTILIZING THE SAME )

Assistant Commissioner for Patents  
Washington, DC 20231  
Attention: **OFFICE OF INITIAL PATENT EXAMINATION**

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**


Enclosed is a corrected Filing Receipt marked in red ink to indicate the residence for each inventor. Please make the noted corrections and send a corrected Filing Receipt.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 5, 2000

By:   
M. Paul Barker  
Reg. No. 32,013



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/639,453	08/15/2000	1636	0	7705.0002-03	14	8	1

Finnegan Henderson Farabow Garrett and Dunner, LLP  
1300 I Street NW  
Washington, DC 20005-3315



## FILING RECEIPT



\*OC000000005457433\*

Date Mailed: 10/06/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Arthur T. Sands, Residence, ~~NOT PROVIDED~~; The Woodlands, TX  
Glenn A. Friedrich, Residence, ~~NOT PROVIDED~~; The Woodlands, TX  
Brian Zambrowicz, Residence, ~~NOT PROVIDED~~; The Woodlands, TX  
Allan Bradley, Residence, ~~NOT PROVIDED~~; Houston, TX

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 08/942,806 10/02/1997  
WHICH IS A CIP OF 08/726,867 10/04/1996 PAT 6,136,566  
AND A CIP OF 08/728,963 10/11/1996  
AND A CON OF 08/907,598 08/08/1997

## Foreign Applications

If Required, Foreign Filing License Granted 10/05/2000

## Title

Indexed library of cells containing genomic modifications and methods of making and utilizing the same

## Preliminary Class

435



143  
PATENT

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CELLS CONTAINING GENOMIC )  
MODIFICATIONS AND METHODS )  
OF MAKING AND UTILIZING THE )  
SAME )

Assistant Commissioner for Patents  
Washington, DC 20231  
Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice To File Missing Parts Of Nonprovisional Application (Notice) mailed October 6, 2000, Applicants submit the statutory basic filing fee of \$690.00, the multiple dependent claims fee of \$260.00, the required surcharge of \$130.00, and a copy of the Notice.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,  
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 5, 2000

By: 

M. Paul Barker  
Reg. No. 32,013



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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/639,453	08/15/2000	Arthur T. Sands	7705.0002-03

Finnegan Henderson Farabow Garrett and Dunner, LLP  
1300 I Street NW  
Washington, DC 20005-3315



## FORMALITIES LETTER



\*OC000000005457434\*

Date Mailed: 10/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$260.
  - \$260 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2000 SDENB0B1 00000031 060916 09639453

01 FC:101		710.00 OP
02 FC:105		130.00 OP
03 FC:104	30.00 CH	240.00 OP